

# TABLE OF CONTENTS

## **OUT-OF-HOME CARE INTRODUCTION**

- A. Purpose
- B. Legal Base
- C. Glossary
- D. Documentation
  - 1. Record Keeping
  - 2. Correspondence
  - 3. Narrative Recording
  - 4. Documentation and courts
  - 5. ISP Documentation Requirements For Children in Out-of-Home Care

#### PLACEMENT OF CHILDREN

- A. Authority to Place
  - 1. Voluntary Placement
  - 2. Court-Authorized Placement
- B. Preparation for Placement
  - 1. Preparation of the Child
  - 2. Preparation of the Parents
  - 3. Preparation of the Out-of-Home Care Provider
- C. Placement Requirements
  - 1. Close Proximity
    - a. Children Covered by Policy
    - b. Proximity of Placement Policy for Children Placed with

Child Placing Agencies

- c. Court Orders
- d. Making Placements in Close Proximity to the Child's Home
- e. Selecting a Placement
- f. Selecting a Placement for a Child who Requires Specialized Services
- g. When Children May be in Placements That Are not in Close Proximity to Their Home
- h. Contact with Family and Friends When Not Placed in Close Proximity to Home
- 2. Placing Siblings Together
  - a. Children Covered by These Policies
  - Sibling Placement Policy for children Placed With Child Placing Agencies

- c. Court Orders
- d. Placing Siblings
- e. Selection of a Placement for Siblings
- f. When Siblings May be Placed Apart
- g. Application of Sibling Placement Policy to Siblings Separated for Long Periods in Out-of-Home Care Prior to Issuance of This Policy
- h. Contact Among Siblings When Separated
- D. Choosing the Least Restrictive Setting
  - 1. Relative Care
    - a. Kinship Care
  - 2. Foster Family Homes
    - a. Related Foster Care
    - b. Foster Home of DHR Employees
      - i. County DHR Employees
      - ii. State DHR Employees
      - iii. DHR Employees As Relative Placements
      - iv. DHR Employees As Respite Providers
      - v. Payments to DHR Employees Approved as Foster Parents
    - c. Foster Family Homes Serving as Maternity Homes
    - d. Therapeutic Foster Care
    - e. Enhanced Foster Care
      - i. Requirements for Use of Enhanced Foster Care
      - ii. Required Training to be Provided by the Administering Agency to Foster Parents
      - iii. Necessary Support Services for the Enhanced Foster Parents to be Provided by the Administering Agency
      - iv. Responsibilities of County Departments of Human Resources
      - v. Funding
    - f. Provisional Foster Care Placements
    - g. Unrelated Foster Care Placements
  - 3. Child Care Institutions and Group Homes
  - 4. Shelter Care Facilities
  - 5. Residential Facilities for Children and Youth
    - a. Procedure for Placement
      - i. Request for Application for Admission
      - ii. Admission Agreement and/or Contract
      - iii. Authorization to Place
      - iv. Child in the Custody of a Residential Facility
      - v. Special Educational Services for Exceptional Children Placed by DHR in Residential Settings

- (1) Initial Placement In Facility Located Outside DHR County of Responsibility
- (2) Change in Residential Placement of Special Education Child
- (3) Written Notification to LEA of Placement
- (4) Transmittal of Essential Information from One LEA to Another LEA
- vi. Surgery or Other Medical Treatment
- vii. ISP for Children in Residential Facilities
- viii. Preparation and Placement
- ix. Vacation Planning
- b. Child Care Institution and Group Homes
  - i. Reporting of All Referrals
  - ii. Selection of Facility Based on Needs of Child and Proximity of Natural Family
  - iii. Services During Placement
  - iv. CAN Reports on Child Care Institution and Group Homes
  - v. Child Care Institutions and Group Homes Title XX Contract for Social Services
  - vi. In-State Residential Treatment Facilities under Contract
  - vii. Payment Procedure for Contract Facilities
  - viii. Out-of-State Residential Treatment Facilities for Emotionally Handicapped Children
- c. Nursing Homes
  - (1) Medical Level of Care
  - (2) Payment of Nursing Home Care
- d. Cerebral Palsy Centers and Residential Treatment Facilities
- e. Inpatient Psychiatric Services
  - i. Referral and Admission
  - ii. Assessing the Need for Inpatient Services
    - (1) Behavioral Indicators
    - (2) Prior Services and Treatment Interventions
  - iii. Confirming the Need for Inpatient Services
  - iv. SDHR Consultation and Approval
  - v. Extensions
  - vi. Discharge
  - vii. Case Record and Documentation

#### PERMANENCY/CONCURRENT PLANNING

- A. Permanency for Children Defined
- B. Concurrent Planning for Children Defined

- C. Courts and Permanency Planning
  - 1. Court Orders
  - 2. Court Reports
  - 3. Permanency Hearings
  - 4. Judicial Review
  - 5. Administrative Review
- D. Reasonable Efforts
  - 1. Requirements
  - 2. When Reasonable Efforts Are Not Required
    - a. Involuntary Termination of Parental Rights of a Sibling
    - b. Parents Involvement in Criminal Activity
    - c. Aggravated Circumstances
- E. Termination of Parental Rights in Out-of-Home Care
  - 1. Case Situations Requiring Termination of Parental Rights
  - 2. Exceptions to Termination of Parental Rights Requirements
    - a. Relative Placement
    - b. Services Not Provided
    - c. Compelling Reasons
- F. Permanency/Concurrent Goals
  - 1. Return to Parent
  - 2. Permanent Relative Placement With Transfer of Custody to The Relative
  - 3. Permanent Relative Placement with DHR Retaining Custody
  - 4. Adoption by Current Foster Parent
  - 5. Adoption With No Identified Resource
  - 6. Another Planned Permanent Living Arrangement, Court Approved
  - 7. Adult Custodial Care
- G. Relative Resources
- H. Federal Parent Locator Service (FPLS)
- I. The Individualized Service Planning Process for Out-of-Home Care
  - 1. Assessment
  - 2. Individualized Service Plans

# CASEWORKER VISITATION WITH THE CHILD AND FAMILIAL CONNECTIONS

- A. Caseworker Visitation with the Child & Familial Connections
- B. Worker/Parents/Primary Caregivers Visits
- C. Worker Visits to Foster Homes/Related Home/Other Providers

### **HEALTH/MEDICAL CARE**

A. Initial Medical Care/Examination

- 1. Medical Exam
- 2. Medicaid Eligible Determination
- 3. Medical Insurance for Children who are Ineligible for Foster Care Medicaid
- B. Ongoing Medical Care
  - 1. Routine and Periodic Medical Exams
  - 2. Authorization for Surgery or Other Medical Treatment Emergency or Non-Emergency
  - 3. Experimental Medical Care
  - 4. Medications
    - a. Over the Counter Medications
    - b. Prescription Medications
  - Dental Care
    - a. Braces
  - 6. Authorization for Foster Parents and Related Caregivers to Apply for WIC Benefits
  - 7. Alabama Medicaid Agency's Prior Authorization Program and Preferred Drug List (PDL)
  - 8. Alabama Medicaid Coverage for Out of State Services
- C. Children with Specialized Health Care Needs
  - 1. Difficulty-of-Care Payment
    - a. Criteria for Determining Child's Need for Specialized Foster Home Care
  - 2. Medically Fragile
    - a. Children who may Qualify for Medically Fragile
      - i. Human Immunodeficiency Virus
      - ii. Drug Exposed Infants
      - iii. Fetal Alcohol Spectrum Disorder
      - iv. Hepatitis
      - v. Seizure Disorder
      - vi. Cystic Fibrosis
      - vii. Traumatic Brain Injury
      - viii. Shaken Baby Syndrome
      - ix. Hemophilia
      - x. Sickle Cell Anemia
      - xi. Autism
      - xii. Diabetes Mellitus
      - xiii. Serious Birth Diagnoses
      - xiv. Congenital Defects
    - b. Older Children Who Qualify As Medically Fragile
    - c. Children Who Are Not Medically Fragile
    - d. Training and Requirements of Foster Parents to Provide Medically Fragile Care
    - e. Payment Procedures
    - f. Medically Fragile Rate for Adoption Subsidies
    - g. Date Entry

- HIV/AIDS
  - a. Testing Considerations
  - b. Confidentiality
  - c. Training and Education
  - d. Placement Consideration for Children Diagnosed with HIV Infection
  - e. Services During Placement
    - i. Services to the Child
    - ii. Services to the Foster Parents
    - iii. Services to the Parents
- D. HIPAA
- E. Consent of Minors for Health Care
  - 1. Criteria for Minors to Consent
  - 2. Contraception for Foster Children

#### MAINTAINING FAMILY CONNECTIONS

- A. Rights/Roles and Responsibilities of the Birth family
  - 1. Birth Families Rights
  - 2. Birth Families Responsibilities
- B. Visiting Between Child and Significant Others
  - 1. General Principles
  - 2. Children Covered
  - 3. Visiting Policy for Children Placed in Child Care Institutions, Group Homes and Child-Placing Agencies
  - 4. Court Orders
- C. Visiting Policies
  - 1. The Role of the Child and Family Planning Team
  - Placement Visits
  - 3. Arrangements for Visits
  - 4. Location of Visits
  - 5, Frequency of Visits
  - 6. Reasonable Rules for Visits
  - 7. Visit with Friends
  - 8. Visitation in Unrelated Homes Visiting Resources
  - 9. Visiting Supports and Services
  - 10. Restrictions on Visiting
    - a. General Guidelines
    - b. Restrictions Imposed by the Foster Care Provider
  - 11. Specific Restrictions
    - a. Supervision of Visiting
    - b. Prohibiting or Terminating Visits
    - c. Prohibiting Disclosure of the Foster Parent's Address
- D. Telephone and Mail Contacts
  - 1. Children Covered by Policy

- 2. Telephone and Mail contacts for Children Placed in Foster Homes and Shelters
- 3. Telephone and Mail Contacts for Children Placed in Child Care Institutions, Group Homes and Child Placing Agencies
- 4. Telephone and Mail with DHR Staff and Service Providers
- 5. Telephone and Mail Contacts with Legal Counsel, Advocates, and Courts

# E. Telephone Contacts

1. Reasonable Rules for Telephone Contacts with

#### Parent/Relative

- 2. Telephone Contacts with Friends
- 3. Telephone Contacts with Legal Counsel, Advocates, and Courts
- 4. Telephone Restrictions
- 5. Monitoring
- 6. Limits on Calls To/From Certain Persons
- 7. Prohibiting Disclosure of Provider's Telephone Number
- 8. Payment for Telephone Calls Made by Children in Foster Care
  - a. Obligation to Pay
  - b. Payment to Providers

#### F. Mail

- 1. Written Communication Between Children and Parents/Relatives or Friends
- 2. Interception of Mail
- 3. Censoring or Withholding Mail
- 4. Withholding Provider's Address
- 5. Mail Contact with Legal Counsel, Advocates and Courts
- 6. Payment to Providers for Mail Expenses
- G. Out of County/Out of State Visits

### **ACTIVITIES AND LIFE EVENTS**

- A. Purpose
- B. Consent
- C. Clothing
- D. Spiritual Development
- E. Allowances
- F. Car Seats
- G. Driving
  - 1. Permission
  - 2. Insurance
  - 3. Purchasing/Owning Vehicles

- H. Hunting
- I. Publicity
- J. Travel of a Child in the Care/Custody of DHR
  - 1. Out of County Travel with an Out-of-Home Care Provider
  - 2. Out of State Travel with an Out-of-Home Care Provider
  - Air Travel by Children in the Care/Custody of the Department
  - 4. Out of State Air Travel
  - 5. In-State Air Travel
- K. Military Service
- L. Marriage
- M. Death and Burial/Cremation

To Request Payment from SDHR

N. Life Books

# POLICY SPECIFIC SUBSECTIONS APPLICABLE TO ANY TYPE OF OUT-OF-HOME CARE

- A. Abandoned Children
  - Safe Haven
- B. Appointment of Guardian or Conservator for Foster Child
- C. Board Payment Use
- D. Child Support
  - 1. Establishing Good Cause Not to Pursue Child Support
  - 2. Establishing the Support Obligation in DHR Foster Care Case
  - 3. Child Support Referral Process
  - 4. Responsibilities of the Child Support Unit
  - 5. Responsibilities of the Family Service Unit
  - 6. Collection of Child Support
  - 7. Distribution of Child Support
  - 8. Calculation of Excess Over Board/Request for Return to County
- E. Children in Out-of-Home Care Who are not United States Citizens
- F. Dual Foster Care and Day Care Services Limitations
- G. Homes Providing Foster Care for Children and Foster Care for Adults
- H. Foster Care Trust Fund
- I. Reports of Abuse and Neglect on Children in Out-of-Home Care
- J. Runaway/Missing Children

- 1. Runaway Children
- 2. Missing Children
- K. Transitioning Children Into Adulthood
  - 1. Long Range Planning for Children with Disabilities
  - 2. Transfer of Family Services Cases of Children with Disabilities to Adult Services at the Age of Majority
  - 3. Smooth Transitions
    - a. Legal Basis
    - b. Guidelines and Procedures
    - c. Transitional Planning
    - d. Level of Functioning Assessment
  - 4. Individualized Service Plans (ISPs)
  - 5. Discharge From the System of Care
  - 6. Discharge Planning
  - 7. Post Foster Care Supervision
  - 8. DHR Relief of Custody Requests
  - 9. Discharge Procedures
  - 10. Reentering the System Following the 18th Birthday
    - a. General Guidelines
    - b. Authority to Place 18/19 Olds
- L. Visiting Resources

#### **EDUCATION**

- A. School Enrollment
- B. Educational Information Provided to Foster Parents/Providers
- C. DHR/SDE/LEA Protocols
- D. Referral for Building-Based Student Support Teams (BBSST)
- E. Referral for Special Education Services
- F. Individualized Education Program (IEP)
- G. Surrogate Parent

# **INDEPENDENT LIVING SERVICES (ILS)**

- A. Policy
- B. Legal Basis
- C. Youth Covered by Policy
- D. Program Development
  - 1. Promoting a Sense of Control Over Their Future
    - a. Individualized Service Plans (ISPs)
    - b. Life Skills Development Groups
    - c. Youth Advisory Councils (YAC)

- 2. Promoting A Sense of Competency
- 3. Promoting A Sense of Permanency
- 4. Usefulness
- E. Provision of IL Services
- F. Transitional and Independent Living Placement Resources
  - 1. Placement Criteria
  - 2. Youth with Children
  - 3. Individualized Service Plan (ISP) Requirements
- G. Funding
  - 1. Funding Source for Different Case Types
  - 2. Education and Training Voucher (ETV) Program
    - a. Use of DHR Computer by Youth
    - b. The Youth's Responsibility
    - c. Youth Eligibility
    - d. Qualifying Schools
    - e. Eligible Costs
    - f. Administration

#### SPECIALIZED SERVICES AND SUPPORT

- A. Behavior Management
  - 1. Children Covered
  - 2. Disagreements and Grievances
- B. Behavior Management Intervention
  - 1. Prohibited Interventions
  - 2. Less Restrictive Interventions for Managing
    - a. Modeling
    - b. Rewards, Privileges, and Positive Reinforcers
    - c. Positive Communication
    - d. Redirecting
    - e. Contracting
    - f. Time-Out
    - g. Natural Consequences
    - h. Logical Consequences
    - i. Ignoring the Behavior
    - j. Stating the Boundaries
    - k. Behavioral Charting
    - I. Family Meetings
    - m. Punishment
    - n. Levels Program as an Intervention
    - o. More Restrictive Interventions for Managing Existing and Teaching New Behaviors
      - i. Isolation
      - ii. Medication, Seclusion and Restraint
        - (1) Medication

- (a) Criteria for Use
- (b) Documentation
- p. Seclusion or Restraint
  - i. Criteria for Use
  - ii. Physical Environment and Care of the Child
  - iii. Notification of Parent, Legal Guardian/Custodian, DHR
  - iv. Procedural Requirements
    - (1) Authorization/Orders
  - v. Exceptions
  - vi. Release
  - vii. Documentation
- 3. Provider Responsibilities
- 4. DHR Responsibilities for Behavior Management
- 5. Assessing Behavioral Needs
- 6. Designing and Implementing the Behavior Management Plan
- 7. Assessing and Monitoring Behavioral Management Interventions
- 8. Discharge Planning and Training for Managing Behaviors
- C. Multiple Needs Children
- D. Alabama Child Welfare Practice
  - 1. Children Covered
  - 2. Court Orders
  - 3. Guidelines and Procedures
    - a. Criteria for Accepting Referrals by Local Facilitation Teams
    - b. Criteria for Establishing Multiple Needs Child Status
  - 4. Referral Process
  - 5. Imminent Risk of Out-of-Home Placement
  - 6. Imminent Risk of a More Restrictive Placement
  - 7. Responsibilities of Local/State Teams and Member Agencies Including DHR
  - 8. Referrals to State Facilitation Team
- E. Relationships of State and Local Facilitation Teams to Other Teams
  - 1. DHR Procedures
    - a. Custody
    - b. Payment
    - c. Tracking
- F. Commitment of Children in DHR Custody to SDMH/MR

#### SUPPORTS TO FOSTER PARENTS

- A. Respite Care
  - 1. Notification to Foster Parents Regarding the Availability of Respite Care

- 2. Documentation/Tracking
- B. Day Care
- C. Foster Parent Bill of Rights (FPBR)
- D. Grievance Process
  - 1. Purpose
  - 2. General Guidelines
  - 3. The Process
    - a. Local Grievance Process
    - b. SDHR Grievance Committee Process